



01-26-24

Docket No. 7156/SYNX/JB

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Inventor(s): Martin R. Elliott, Michael R. Rice, Robert B. Lowrance, Jeffrey C. Hudgens and Eric A. Enghardt  
Title: SUBSTRATE CARRIER HAVING DOOR LATCHING AND SUBSTRATE CLAMPING MECHANISMS  
Serial No.: 10/650,311  
Filed: August 28, 2003  
Group Art Unit: 3652

Transmitted herewith is:

- ☒ Information Disclosure Statement  
☒ PTO Form 1449 with three cited references  
☒ Return Postcards

FEE CALCULATION					
Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	N/A	- 20 =	-0-	X \$18.00	\$0.00
Independent Claims	N/A	- 3 =	-0-	X \$84.00	\$0.00
Basic Filing Fee				\$740.00	\$0.00
TOTAL FEES					\$0.00

- The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.
- ☒ Please address all future correspondence to:

**PATENT COUNSEL  
APPLIED MATERIALS, INC.  
Legal Affairs Department  
P.O. BOX 450A  
Santa Clara, CA. 95052**

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail Receipt No. EL975550289USDate of Deposit: 1/23/04Signature: [Signature]

Respectfully submitted,

[Signature]  
Brian M. Dugan  
Registration No. 41,720  
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Express Mail Label No. EL975550289US

PATENTS  
7156/SYNX/JB

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Martin R. Elliott, Michael R. Rice, Robert  
B. Lowrance, Jeffrey C. Hudgens and Eric A.  
Englhardt

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For : SUBSTRATE CARRIER HAVING DOOR LATCHING AND  
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Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following references:

U.S. Patent No. 4,927,438, Mears et al.

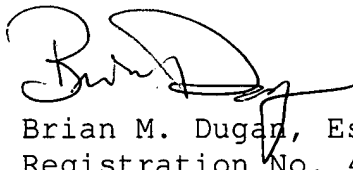
U.S. Patent No. 6,082,949, Rosenquist

U.S. Patent No. 6,409,448 B1, Sindledacker

These references are also listed on the accompanying Information Disclosure Statement (Form PTO-1449) and copies are enclosed.

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Brian M. Dugan", with a stylized flourish at the end.

Brian M. Dugan, Esq.  
Registration No. 41,720  
Dugan & Dugan, PC  
Attorneys for Applicants  
(914) 332-9081

Dated:

1/23/04  
Tarrytown, New York

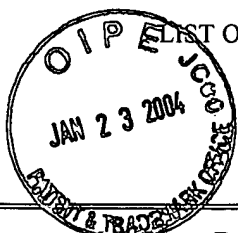
U.S. Department of Commerce, Patent and Trademark Office

Docket No.:

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10/650,311



LIST OF RELEVANT ART CITED BY APPLICANT

(Use several sheets if necessary)

Applicant(s):

Martin R. Elliott et al.

Filing Date:

08/28/03

Group:

3652

U.S. Patent Documents

*Examiner Initial		Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate
	AA	4,927,438	05/22/90	Mears et al.			
	AB	6,082,949	07/04/00	Rosenquist			
	AC	6,409,448 B1	06/25/02	Sindledecker			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents

Translation

		Document Number	Date	Country	Class	Subclass	Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	
	AS	
	AT	

Examiner

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.